

Attorney Docket No.: 101896-175

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Missoum Moumene et al.

Application No.: 10/606,200

Group Art Unit: Not Yet Assigned

Filed: June 25, 2003

Examiner: Not Yet Assigned

For:

Locking Cap Assembly For Spinal Fixation

Instrumentation

Certificate of First Class Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22B /3-1450 on the

date set forth below.

8/11/03

Ву:

Ronald E. Cahill Reg. No. 38,403

Attorney for Applicants

Commissioner for Patents P.O. .Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Those patent(s) or publication(s) which are marked with an asterisk (*) in the attached form PTO/SB/08 are not supplied because they were previously cited by Examiner or submitted to the Office in prior application 09/667,937, filed September 22, 2000 relied upon in this application for an earlier filing date under 35 U.S.C. 120.

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent,

publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

Dated:

lespectfully sub

Ronald E. Cahill

Registration No.: 38,403

NUTTER, MCCLENNEN & FISH, LLP

World Trade Center West 155 Seaport Boulevard

Boston, Massachusetts 02210-2604

Telephone (617) 439-2782 Facsimile (617) 310-9782 Attorney for Applicants

1234672.1



PTO/SB/08a/b (05-03)

Approved for use through 05/31/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Complete if Known Substitute for form 1449/PTO **Application Number** INFORMATION DISCLOSURE June 25, 2003 Filing Date STATEMENT BY APPLICANT First Named Inventor Missoum Moumene et al. Art Unit (use as many sheets as necessary) Examiner Name Sheet 1 of 1 Attomey Docket Number 101896-175

| U.S. PATENT DOCUMENTS | | | | | | | | | |
|-----------------------|---|--|---|--|--|--|--|--|--|
| Cite | Document Number Number-Kind Code² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | | | | | |
| | | 11/93 | Asher et al | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | 4 | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| _ | | | | | | | | | |
| | | | | | | | | | |
| | | | <u> </u> | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| 1 | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| _ | | | <u> </u> | | | | | | |
| | Cite No.! AA AB AC AD AE AF AG AH AI AJ AK AL AM AN AO AP AQ AR AS AT AU AV AX AY AZ | Cite No.1 Number-Kind Code³ (#known) AA 5,257,993 AB 5,261,912 AC 5,306,275 AD 5,443,467 AE 5,498,264 AF 5,501,684 AG 5,520,689 AH 5,534,001 AI 5,545,165 AJ 5,615,965 AK 5,672,176 AL 5,716,356 AM 5,725,527 AN 5,741,255 AO 5,814,046 AP 5,873,878 AQ 5,961,517 AR 6,106,526 AS 6,110,172 AT 6,077,262 AU 5,346,493 AV US2002/0043332 AX US2001/0025180 A1 AY 6,302,888 B1 | Cite No.1 Document Number Number Number-Kind Code² (#known) Publication Date MM-DD-YYYY AA 5,257,993 11/93 AB 5,261,912 11/93 AC 5,306,275 4/94 AD 5,443,467 8/95 AE 5,498,264 3/96 AF 5,501,684 3/96 AG 5,520,689 5/96 AH 5,534,001 7/96 AI 5,545,165 8/96 AJ 5,615,965 4/97 AK 5,672,176 9/97 AL 5,716,356 2/98 AM 5,725,527 3/98 AN 5,741,255 4/98 AO 5,814,046 9/98 AP 5,873,878 2/99 AQ 5,961,517 10/99 AR 6,106,526 8/00 AS 6,110,172 8/00 AT 6,077,262 6/00 AU 5,346,493 9/94 AV | Cite No.1 Document Number Number Number-Kind Code² (il known) Publication Date MM-DD-YYYY Name of Patentee or Applicant of Cited Document AA 5,257,993 11/93 Asher et al. AB 5,261,912 11/93 Frigg AC 5,306,275 4/94 Bryan AD 5,443,467 8/95 Biedermann et al. AE 5,498,264 3/96 Schlapfer et al. AF 5,501,684 3/96 Schlapfer et al. AG 5,520,689 5/96 Schlapfer et al. AI 5,545,165 8/96 Biedermann et al. AJ 5,615,965 4/97 Saurat et al. AK 5,672,176 9/97 Biedermann et al. AL 5,716,356 2/98 Biedermann et al. AM 5,725,527 3/98 Biedermann et al. AN 5,741,255 4/98 Krag et al. AO 5,814,046 9/98 Hopf AP 5,873,878 2/99 Harms et al. AS | | | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | | | | | |
|--------------------------|--------------|--|-----------------------------------|--|--------------------|---|----------------|--|--|--|
| Examiner Initials* | Cite No.1 | Foreign Patent Document Country Code³-Number⁴-Kind Code⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ | | | |
| * | ВА | DE 94 03 231 | 4/94 | | | | | | | |
| * | BB | WO 00/19923 | 4/00 | | | | | | | |
| * | ВС | JP 2001-276086 | 10/01 | Abe Eiji et al. | | Translation | X | | | |
| Examine Signature | | | | | Date Considered | | | | | |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

¹Applicant's unique citation designation number (optional). 2Applicant is to place a check mark here if English language Translation is attached.